





COMM SSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/927,760

08/09/2001

John H. Crowe

6829-60462 (800189.10)

CONFIRMATION NO. 8265

FORMALITIES LETTER

OC000000006565511

John W. Carpenter Coudert Brothers Third Floor 600 Beach Street San Francisco, CA 94109

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

102 VTRUONG1 00000007 09927760

65.00 Op

A copy of this notice MUST be returned with the reply.

03/07/2002 SSALEEKU 00000021 130201

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01 FC:203 02 FC:202 81-00 CH 42.00 CH

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





ORIGINALLY FILED

D STATES PATENT AND TRADEMARK OFFICE

Applicant: John H. Crowe, et al.

Serial No.: 09/92

Filed:

August 9, 2001

For: EUKARYOTIC CELLS AND METHOD FOR

PRESERVING CELLS

Group Art Unit: 1633

Attorney Docket: 6829-60462 (800189-10)

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8A)

1- Lecte

I hereby certify that this correspondence is, on the date shown below, being:

(X) deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

() transmitted by facsimile to the Patent and Trademark Office

December 17, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application Filing Date Granted, date granted August 9, 2001 and relating to completion of the aboveidentified application, transmitted herewith are:

- A copy of the Notice to File Missing Parts of Non-Provisional Application Filing Date Granted, mailed September 17, 2001;
- 2. Declaration, executed, 6 pages;
- Fee Transmittal Form for Filing of Missing Parts; 3.
- 4. Check in the amount of \$65.00;
- Petition for Extension of Time; 5.
- Fee Transmittal Form for Petition for Extension of Time; 6.
- 7. Check in the amount of \$55.00;
- 8. Certificate of Mailing; and
- 9. Postcard

Except for the issue fee, which will be separately authorized, the Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 13-0201. A copy of this paper is enclosed.

Please note, regarding the request for substitute drawings in compliance with 37 CFR 1.84, we will provide formal drawings at the time of the Notice of Allowance.

Respectfully submitted,

John W. Carpenter

Registration No. 26,447

December 17, 2001 COUDERT BROTHERS LLP 600 Beach Street, 3rd Floor San Francisco, CA 94109 (415) 409-2900 (tel.) (415) 409-7400 (fax)





PTO/SB/17 (10-01)
Approved for Use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no

FEE TRANSMIT for FY 2002

Patent fees are subject to annual revision.

OTAL AMOUNT OF PAYMENT	(\$) 120.00
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Complete if Known		
Application Number	09/927,760	
Filing Date	08/09/2001	
First Named Inventor	John H. Crowe	
Examiner Name		
Group Art Unit	1633	
Attorney Docket No.	6829-60462 (800189.10)	

METHOD OF PAYMENT	FEE CALCULATION (continued)						
1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES						
indicated fees and credit any overpayments to: Deposit	Large Small						
Account Number 13-0201	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid					
Deposit	Code (\$) Code (\$)						
Account Name Coudert Brothers LLP	105 130 205 65 Surcharge - late filing fee or oath						
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet						
X Applicant claims small entity status	139 130 139 130 Non-English specification						
See 37 CFR 1 27	147 2,520 147 2,520 For filing a request for ex parte reexamination						
2. Payment Enclosed: Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action						
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	55.00					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	55.00					
Large Entity Small Entity	116 400 216 200 Extension for reply within second month						
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month						
Code (\$) Code (\$) Fee Paid 101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month						
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month						
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal						
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal						
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing						
•	138 1,510 138 1,510 Petition to institute a public use proceeding						
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable						
2. EXTRA CLAIM FEES 141 1,280 241 640 Petition to revive - unintentional							
Fee from Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)						
Total Claims -20** = X ==	143 460 243 230 Design issue fee						
Independent - 3** = X =	144 620 244 310 Plant issue fee						
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner						
	123 50 123 50 Processing fee under 37 CFR 1.17(q)						
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt						
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)						
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection						
104 280 204 140 Multiple dependent claim, if not paid	(37 CFR § 1.129(a))						
109 84 209 42 "Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))						
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)						
and over original patent	169 900 169 900 Request for expedited examination of a design application	(5,00					
SUBTOTAL (2) (\$)	Other fee (specify) Filing of Missing Parts	65.00					
**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)120.	00					
Complete (if applicable)							
SUBMITTED BY Registration No. Telephone (15,400, 2000)							

SUBMITTED BY Complete (if applicable)				
Name (Print/Type)	John W. Carpenter	Registration No. (Attorney/Agent) 26,447	Telephone	415/409-2900
Signature	Moderate		Date	12/17/01

WARNING: Information on this form may becom public. Credit card information should not be included on this form. Provide credit card inf rmation and authorization on PTO-2038.